



24728

PATENT - TRADEMARK OFFICE



Nonprovisional Patent  
Serial No. 09/954,852  
Docket No. 10602/35345

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |                        |   |            |      |
|-------------|------------------------|---|------------|------|
| Applicant:  | MALME, <i>ET AL.</i>   | ) | Group No.: | 2161 |
|             |                        | ) |            |      |
| Serial No.: | 09/954,852             | ) | Examiner:  | TBA  |
|             |                        | ) |            |      |
| Filed:      | 9/18/01                | ) |            |      |
|             |                        | ) |            |      |
| Entitled:   | SYSTEM AND METHODS FOR | ) |            |      |
|             | AGGREGATION AND        | ) |            |      |
|             | LIQUIDATION OF         | ) |            |      |
|             | CURTAILMENT ENERGY     | ) |            |      |
|             | RESOURCES              | ) |            |      |

**RECEIVED**  
JAN 29 2002  
Technology Center 2100

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

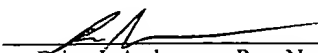
The above-identified applicants request that the Patent and Trademark Office issue a corrected filing receipt in the above-referenced application for the following reasons:

1. The Domestic Priority data is incorrect. The correct serial number is 60/233,419 and the date of filing was 9/18/2000.

#848927 v1 - 10602-35345CFR

**CERTIFICATE OF MAILING**

I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Assistant Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on October 25, 2001.

  
Brian J. Anderson - Reg. No. 43,470

A copy of the original filing receipt with the requested corrections appended is attached hereto. In addition, a Preliminary Amendment has been filed to correct the typographical error on the application.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'B. J. Anderson', with a long horizontal flourish extending to the right.

Brian J. Anderson  
Reg. No. 016,572

MORRIS, MANNING & MARTIN, LLP  
1600 Atlanta Financial Center  
3343 Peachtree Road, N.E.  
Atlanta, Georgia 30326  
(404) 233-7000  
MMM Docket No. 10602-35345



## UNITED STATES PATENT AND TRADEMARK OFFICE

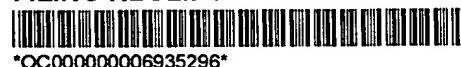
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/954,852         | 09/18/2001  | 2161         | 1086          | 10602/35345      | 20       | 32         | 5          |

CONFIRMATION NO. 8503

24728  
MORRIS MANNING & MARTIN LLP  
1600 ATLANTA FINANCIAL CENTER  
3343 PEACHTREE ROAD, NE  
ATLANTA, GA 30326-1044

## FILING RECEIPT



\*OC000000006935296\*

Date Mailed: 10/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ross Malme, Atlanta, GA;  
Peter C. Scarpelli, Chicago, IL;

## Domestic Priority data as claimed by applicant

233 9/18/2000  
THIS APPLN CLAIMS BENEFIT OF 60/223,419 08/07/2000 \*  
(\* ) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 10/18/2001

Projected Publication Date: 02/07/2002

Non-Publication Request: No

Early Publication Request: No

## Title

System and methods for aggregation and liquidation of curtailment energy resources

## Preliminary Class

705

DOCKETED

RECEIVED BY DOCKETING

OCT 23 2001

Morris Manning &amp; Martin

RECEIVED  
JAN 29 2002  
Technology Center 2100

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**RECEIVED**

**JAN 29 2002**

**Technology Center 2100**